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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 55.00)

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 10/073,425     |
| Filing Date          | 02/11/2002     |
| First Named Inventor | Grubsky et al. |
| Examiner Name        | Sung H. Pak    |
| Art Unit             | 2874           |
| Attorney Docket No.  | STADM-60980    |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number  
06-2425

Deposit Account Name  
FULWIDER PATTON

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 770                   | 2001 385                   | Utility filing fee     |          |
| 1002 340                   | 2002 170                   | Design filing fee      |          |
| 1003 530                   | 2003 265                   | Plant filing fee       |          |
| 1004 770                   | 2004 385                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |
| <b>SUBTOTAL (1) (\$)</b>   |                            |                        | 55.00    |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | X              | =        |
|              |                    |                    | - 3** =      | X              | =        |
|              |                    |                    |              |                |          |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 86                    | 2201 43                    | Independent claims in excess of 3                          |
| 1203 290                   | 2203 145                   | Multiple dependent claim, if not paid                      |
| 1204 86                    | 2204 43                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) (\$)</b>   |                            |  |

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

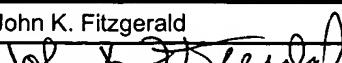
| Large Entity        | Small Entity | Fee Description  | Fee Paid |
|---------------------|--------------|--|----------|
|                     |              | Surcharge - late filing fee or oath  |          |
|                     |              | Surcharge - late provisional filing fee or cover sheet                     |          |
|                     |              | Non-English specification  |          |
|                     |              | For filing a request for ex parte reexamination                            |          |
|                     |              | Requesting publication of SIR prior to Examiner action                     |          |
|                     |              | Requesting publication of SIR after Examiner action                        |          |
|                     |              | Extension for reply within first month                                     |          |
|                     |              | Extension for reply within second month                                    |          |
|                     |              | Extension for reply within third month                                     |          |
|                     |              | Extension for reply within fourth month                                    |          |
|                     |              | Extension for reply within fifth month                                     |          |
|                     |              | Notice of Appeal   |          |
|                     |              | Filing a brief in support of an appeal                                     |          |
|                     |              | Request for oral hearing   |          |
|                     |              | Petition to institute a public use proceeding                              |          |
|                     |              | Petition to revive - unavoidable   |          |
|                     |              | Petition to revive - unintentional   |          |
|                     |              | Utility issue fee (or reissue)   |          |
|                     |              | Design issue fee   |          |
|                     |              | Plant issue fee  |          |
|                     |              | Petitions to the Commissioner  |          |
|                     |              | Processing fee under 37 CFR 1.17(q)  |          |
|                     |              | Submission of Information Disclosure Stmt                                  |          |
|                     |              | Recording each patent assignment per property (times number of properties) |          |
|                     |              | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
|                     |              | For each additional invention to be examined (37 CFR 1.129(b))             |          |
|                     |              | Request for Continued Examination (RCE)                                    |          |
|                     |              | Request for expedited examination of a design application                  |          |
| Other fee (specify) |              |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 55.00)

## SUBMITTED BY

(Complete if applicable)

|                   |   |                                      |        |           |              |
|-------------------|---|--------------------------------------|--------|-----------|--------------|
| Name (Print/Type) | John K. Fitzgerald  | Registration No.<br>(Attorney/Agent) | 38,881 | Telephone | 310/824-5555 |
| Signature         |  |                                      |        | Date      | 9/3/04       |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/22 (06-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| <b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>  |            | Docket Number (Optional)<br><u>STADM-60980</u> |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
|--|------------|--|----------|------------|-------------------------|--|---|-------|------|----------|---|-------|-------|----------|---|-------|-------|----------|--|--------|-------|----------|--|--------|--------|----------|
| Application Number    10/073,425   |            | Filed    02/11/2002                            |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| For WAVELENGTH SELECTIVE OPTICAL FIBER COMPONENTS USING CLADDING-MODE...   |            |  |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| Art Unit    2874   |            | Examiner    Sung H. Pak                        |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.</p> <p>The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):</p> <table><thead><tr><th></th><th><u>Fee</u></th><th><u>Small Entity Fee</u></th><th></th></tr></thead><tbody><tr><td><input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))</td><td>\$110</td><td>\$55</td><td>\$ 55.00</td></tr><tr><td><input type="checkbox"/> Two months (37 CFR 1.17(a)(2))</td><td>\$420</td><td>\$210</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Three months (37 CFR 1.17(a)(3))</td><td>\$950</td><td>\$475</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Four months (37 CFR 1.17(a)(4))</td><td>\$1480</td><td>\$740</td><td>\$ _____</td></tr><tr><td><input type="checkbox"/> Five months (37 CFR 1.17(a)(5))</td><td>\$2010</td><td>\$1005</td><td>\$ _____</td></tr></tbody></table> |            |  |          | <u>Fee</u> | <u>Small Entity Fee</u> |  | <input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$110 | \$55 | \$ 55.00 | <input type="checkbox"/> Two months (37 CFR 1.17(a)(2)) | \$420 | \$210 | \$ _____ | <input type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$950 | \$475 | \$ _____ | <input type="checkbox"/> Four months (37 CFR 1.17(a)(4)) | \$1480 | \$740 | \$ _____ | <input type="checkbox"/> Five months (37 CFR 1.17(a)(5)) | \$2010 | \$1005 | \$ _____ |
|  | <u>Fee</u> | <u>Small Entity Fee</u>                        |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))  | \$110      | \$55   | \$ 55.00 |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))  | \$420      | \$210  | \$ _____ |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <input type="checkbox"/> Three months (37 CFR 1.17(a)(3))  | \$950      | \$475  | \$ _____ |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))   | \$1480     | \$740  | \$ _____ |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))   | \$2010     | \$1005   | \$ _____ |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>06-2425</u>. I have enclosed a duplicate copy of this sheet.</p>  |            |  |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p>   |            |  |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <p>I am the    <input type="checkbox"/>    applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71.<br/>Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).</p> <p><input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>38,881</u></p> <p><input type="checkbox"/> attorney or agent under 37 CFR 1.34.<br/>Registration number if acting under 37 CFR 1.34 _____</p>   |            |  |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| <br>_____<br>John K. Fitzgerald   |            | 9/3/04<br>_____<br>Date                        |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |
| _____<br>Typed or printed name   |            | 310/824-5555<br>_____<br>Telephone Number      |          |            |                         |  |   |       |      |          |   |       |       |          |   |       |       |          |  |        |       |          |  |        |        |          |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 Total of    1    forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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